

AINSE Research Projects Funding Rules

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1. Key Dates and Contacts

Application form on-line for Research Fellowship Proposals	1 March
Closing time for submission of Research Fellowship Proposals	31 May
Notification of Awards involving Research Fellowships	31 July
Application form on-line for Research Award Proposals	1 August
Closing time for submission of Research Award Proposals	5.00 pm (AEST) 15 September
Notification of Research Awards	15 December

Enquiries should be directed:

by mail to:

Managing Director
AINSE Ltd
Locked Bag 2001
Kirrawee DC NSW 2232

Email: ainse@ainse.edu.au
Phone: 02 9717 3376
Fax: 02 9717 9268
Web: <http://www.ainse.edu.au>

by courier to:

Managing Director
AINSE Ltd
Building 5, ANSTO
New Illawarra Rd
Lucas Heights NSW 2234

2. Introduction

2.1 Overview and Objectives

- 2.1.1 This document sets out the Funding Rules for AINSE's nationally competitive *Research Projects Program*.
- 2.1.2 AINSE is the Australian Institute of Nuclear Science and Engineering. It is an association of universities and research establishments in Australia and New Zealand. The primary function of AINSE is to advance research, education and training in the field of nuclear science and engineering and related fields within Australasia by being, in particular, the key link between universities, ANSTO, other member organisations and major nuclear science and associated facilities.
- 2.1.3 All parties involved in Proposals should read and understand these Funding Rules before submitting a Proposal to AINSE. Applicants are responsible for ensuring that their Proposals are complete and accurate.
- 2.1.4 Funding under *AINSE Research Projects* is provided to eligible Administering Organisations, not to researchers. That is, AINSE will only accept a Proposal which has been endorsed by an Eligible Organisation.
- 2.1.5 These Funding Rules are current as at July 2011. These Funding Rules are subject to change at any time.

2.2 AINSE Research Projects

- 2.2.1 The objective of *AINSE Research Projects* is to support research undertaken by research staff and students at eligible organisations (see Appendix C for a list of Eligible Organisations). This research is to have an identifiable focus on nuclear and nuclear-related applications and/or on the use of the national facilities and expertise at the ANSTO or other ASF.

2.3 Selection Criteria

- 2.3.1 All *AINSE Research Project* Proposals which meet the eligibility criteria will be assessed and merit ranked using the following selection criteria:
- a. Chief Investigator's
 - research opportunity and performance evidence
 - capacity to undertake the proposed research
 - b. Proposed project content
 - c. Significance, innovation and methodology
 - d. Alignment of the project to [ANSTO's research priorities](#)
- 2.3.2 A project for which funding is carried over into the next year may influence the Specialist Committee's decision on an application from the same CI.

2.4 Projects incorporating a Research Fellowship

An *AINSE Research Project* may include provision for a Research Fellowship. In this case the applicant for the Research Fellowship will be the CI and will have obtained the certifications specified in Appendix E. AINSE provides funding for up

to 10 concurrent Fellowships. The award of a Fellowship is at the discretion of AINSE Council, having regard to the following selection criteria.

- a. PhD in Physics, Chemistry, Materials Science & Engineering, Environmental Science, Life Sciences, or a related discipline.
- b. Demonstrated ability to conduct research using methods and/or techniques available at ANSTO.
- c. Demonstrated research excellence in terms of internationally competitive achievement.
- d. Demonstrated presentation skills with the ability to develop effective teaching skills.
- e. Demonstrated research strengths compatible with one of ANSTO's major research projects and the research focus areas of the University, Department, School, and Faculty.
- f. The potential to enhance the University's research performance with particular reference to research income (particularly Competitive Research Grant funding), publications and research higher degree completions.
- g. Demonstrated ability to make a substantial contribution to postgraduate research student supervision.
- h. Agreement from the prospective host university for co-funding representing 50% of employment costs (salary, superannuation etc).
- i. Demonstrated effective interpersonal, oral and written communication skills.
- j. Demonstrated team participation and leadership skills.
- k. Demonstrated commitment to Occupational Health and Safety and Equal Employment Opportunity principles and practice.

2.5 Conflict of Interest

- 2.5.1 All parties involved in or associated with Proposals and AINSE-funded research projects are required to disclose to AINSE, and the other parties involved in the Proposal or project (including all Collaborating Organisations), any conflict of interest which has the potential to influence, or to appear to influence, the request for funding, or the research and activities, publications and media reports related to the Proposal/project. Such conflicts must be disclosed to AINSE at the time of the submission of a Proposal, and in reporting on AINSE-funded research projects, and notified to the other parties as soon as practicable after the conflict of interest is identified.
- 2.5.2 If, in the opinion of AINSE, any party involved in or associated with a Proposal has failed to disclose any such conflict of interest, AINSE may in its absolute discretion decide to not recommend for approval any or all Proposals involving that party.
- 2.5.3 If a conflict of interest exists or arises, the Administering Organisation must have documented processes in place for managing the conflict of interest for the duration of the project. Such processes must comply with the *Australian Code for the Responsible Conduct of Research (2007)* and any relevant successor document. In the event of any inconsistency between the original and any successor document, the latter document is to apply.

2.5.4 Changes from Last Year.

AINSE Research Awards and AINSE Research Fellowship Programs have been amalgamated into a single program called *AINSE Research Projects*.

3. Funding

3.1 Level of Funding

- 3.1.1 All amounts referred to in these Funding Rules and Notifications of Award are to be read as exclusive of GST (if any), unless expressly stated otherwise.
- 3.1.2 Funding for AINSE Research Projects is made at the discretion of the AINSE Council through its Executive Committee after proposals submitted by member organisations have been reviewed by AINSE Specialist Committees.
- 3.1.3 Funding for AINSE Research Projects usually contributes towards the costs associated with the use of ASFs, and/or the costs of travel to and from and/or accommodation at the facility.
- 3.1.4 Funds received by way of an AINSE Research Project must be used for the purposes specified by AINSE, and any proposed re-allocation must be approved in writing by AINSE before such funds are committed.
- 3.1.5 When it becomes apparent that a Project will not be used, for any reason whatsoever, in the month indicated on the application, it is the responsibility of the CI to notify AINSE prior to and at the least not later than that month. Failure to do so may result in cancellation of that part of the Project.
- 3.1.6 Proposals which include provision for a Research Fellowship should include a request for the Fellowship salary and on-costs in the budget. AINSE Fellowships are funded at levels outlined in Appendix D.
- 3.1.7 AINSE reserves the right to recommend funding for a Project at levels which may differ from those requested in the Proposal.
- 3.1.8 Administering Organisations which have submitted Proposals will be notified of the outcomes in a letter of offer for each Proposal that will indicate the financial assistance to be offered.

3.2 Period of Funding

- 3.2.1 Funding may be payable under these Funding Rules for *AINSE Research Projects* after 1 January, unless other arrangements are approved by AINSE Council.
- 3.2.2 Projects are usually funded for 1 year. In the case of Research Fellowships, funding is provided for an initial 3 years and, provided sufficient funding is available and satisfactory progress has been achieved, may be extended for an additional 2 years.
- 3.2.3 For the purposes of these Funding Rules, the term of a research fellowship is determined based on the date on which the researcher commenced the fellowship. In the case of fellowships awarded under these Funding Rules, the commencement date will be 1 January in the year following that of the application, unless the commencement has been deferred to a later date approved by AINSE.

3.3 Project Costs

- 3.3.1 The following project costs may be supported under *AINSE Research Projects*:

- a. access to ANSTO and other facilities listed in the AINSE Researchers' Guide. The costs involved in access to the facilities will be paid by the Eligible Organisation directly to the ASF.
 - b. a contribution to travel and accommodation costs for CIs, AINSE Research Fellows and research students to travel to the AINSE supported facility. The value of these contributions will not be adjusted during the year of the award.
 - c. specialised equipment or materials essential to the project and required at ANSTO or the ASF. In general, equipment or consumables used to prepare samples are not supported.
 - d. where facility access costs exceed that allocated to the project, the extra will be charged to the Eligible Organisation at the AINSE discounted rate.
- 3.3.2 In addition to the above, other costs which may be applicable to Projects with Research Fellowships, and may be supported under *AINSE Research Projects* are detailed in Appendix D.

3.4 Number of Proposals and Funded Projects

- 3.4.1 AINSE does not restrict the number of proposals may be submitted.

3.5 Provisionals

- 3.5.1 AINSE may make a Provisional allocation for projects that are approved in principle. Authority to incur expenditure under a Provisional is vested in the Managing Director, AINSE, in accordance with any conditions and priorities recommended by AINSE. Any such conditions are shown on the "Notification of Provisional" that is sent to the Eligible Organisation. When the applicant has met the conditions and is ready to make a booking for facility access, a request for conversion of the Provisional to a Research Project should be made to the Managing Director, AINSE. Following the assessment of the data provided, the availability of the facility access requested, and the availability of funds, the Managing Director may convert the Provisional into an awarded Project.

4. Organisational Types, Roles and Eligibility

4.1 Eligible Organisations

- 4.1.1 A Proposal may be submitted only by an Eligible Organisation. Appendix C specifies Eligible Organisations. Each organisation must be a financial member of AINSE before project funds can be paid. Membership of AINSE is open to all research organisations with an interest in conducting research at an ASF.
- 4.1.2 AINSE Research Fellowships which are offered under *AINSE Research Projects* may only be undertaken at an Eligible Organisation.

5. Roles and Eligibility for Researchers

5.1 Researcher Roles and General Eligibility

- 5.1.1 Roles that may be undertaken by researchers under *AINSE Research Projects* are:
- a. Chief Investigator (CI);

- b. Other Investigator; or
- c. Research Fellow;
- d. Research Student.

- 5.1.2 A Proposal must nominate at least one of the following: a CI or Research Fellow candidate.
- 5.1.3 All CIs, and AINSE Research Fellows nominated on a Proposal must satisfy the eligibility criteria for the role they are to perform, as specified in Section 5 of these Funding Rules.
- 5.1.4 The first-named researcher nominated on a Proposal who is a CI or AINSE Research Fellow on a Proposal will be considered the ‘Project Leader’.
- 5.1.5 A researcher nominated as a CI or AINSE Research Fellow must take significant intellectual responsibility for the conduct of the proposed project and for any strategic decisions called for in its pursuit, and the communication of results. The researcher must have the capacity to make a serious commitment to carrying out the project and cannot assume the role of a supplier of resources for work that will largely be placed in the hands of others. The AINSE reserves the right to determine whether a person has the requisite capacity to perform the role.
- 5.1.6 At the time of the submission of a Proposal, all obligations regarding previously funded projects involving the nominated CIs and AINSE Research Fellows on the Proposal must have been fulfilled to the satisfaction of AINSE. Such obligations include the provision of satisfactory progress reports.
- 5.1.7 If AINSE considers that a researcher nominated in a Proposal as a CI or Research Fellow does not meet the eligibility criteria in this Section or Sections 5.2 or 5.3 for the role which that researcher is to perform, the Proposal will not be recommended or approved for funding.

5.2 Eligibility Criteria for Chief Investigators

- 5.2.1 A researcher nominated on a Proposal as a CI must meet at least one of the following criteria for the full term of her/his participation in the project:
- a. be an employee of an Eligible Organisation; or
 - b. be a holder of an Emeritus, Adjunct or equivalent appointment at an Eligible Organisation;
- 5.2.2 If a Proposal has been approved for funding and a CI is at any time during the project no longer able to work as proposed on the project, the project may be continued under a replacement CI provided that:
- a. where the CI was the Project Leader, another investigator who was an original CI on the Proposal may become the Project Leader;
 - b. the project still includes at least one other investigator who was an original CI on the Proposal and the replacement CI is first approved by AINSE.
- 5.2.3 The project must be terminated where there are no other investigators who were original CIs remaining on the Proposal. Sole CI projects cannot be continued under replacement CIs.

5.3 General Eligibility Criteria for Fellowships

- 5.3.1 AINSE Research Fellowships provide opportunities for researchers at the postdoctoral level to undertake research of national and international significance, using the unique facilities located at ANSTO.
- 5.3.2 Fellowship candidates must:
- a. have been awarded a PhD within 3 to 8 years from the closing date, which is usually 15 September each year; and
 - b. not have been previously awarded an AINSE Fellowship, and
 - c. have obtained Certification from key people within an Eligible Organisation and ANSTO for the conduct of the fellowship. See Appendix E.
- 5.3.3 AINSE may, in its absolute discretion, determine the validity and date of effect for the attainment of PhD-equivalent status for researchers for the purpose of determining the eligibility of Fellowship candidates and whether to relax the timing requirements.
- 5.3.4 Unless otherwise specified in these Funding Rules, Proposals requesting a Fellowship must be made on the basis that the Fellow will conduct research on a full-time basis only. Nevertheless, if a Fellowship Proposal is successful the Fellow may, during the term of the Fellowship, request approval from AINSE for conversion of the Fellowship to part-time status for periods of time.
- 5.3.5 A researcher who holds or nominates for an AINSE Research Fellowship undertaking research which forms a part of a Commonwealth-funded Research Centre's research activities must demonstrate in the budget justification the need for any additional project costs that would normally be funded by the Centre. Proposals requesting such Fellowships must confirm as part of certification that the project costs do not duplicate Commonwealth funding. If AINSE considers that project costs which are or will be supported by the Centre given its research program and its level of funding are requested from AINSE, AINSE may in its absolute discretion decide to not recommend the Proposal for approval.
- 5.3.6 The notional AINSE salary support for AINSE Research Fellowships is set at Level B. AINSE will provide up to 67% of salary and on-costs.

6. Application Process

6.1 Proposals

- 6.1.1 The Proposal must contain all the information necessary for its assessment without the need for further written or oral explanation, or reference to additional documentation, unless requested by AINSE, including AINSE's selection advisory committees. Additional information must not be submitted and may, at the absolute discretion of AINSE, result in the Proposal being ruled ineligible.
- 6.1.2 All details in the Proposal must be current at the time of submission.
- 6.1.3 In submitting a Proposal, the Administering Organisation and the CI are consenting to the Proposal being assessed under AINSE peer assessment procedures and agree to the release of the Proposal to third parties for assessment purposes.

7. Submission of Proposals

7.1 Submission of Proposals

- 7.1.1 Administering Organisations must submit Proposals through the AINSE on-line application process unless otherwise advised, and forward a pdf copy of the summary page duly signed by the CI, the AINSE Councillor and an authorised Research Officer of the Eligible Organisation.

7.2 Proposal Content

- 7.2.1 Proposals consist of an on-line form, which must be completed and submitted and is accessible via the [AINSE website](#). The Proposal will also require certifications (Appendix E) for proposals involving a Research Fellowship:

7.3 Format of the Proposal

- 7.3.1 All text must be written in English and must comply strictly with the format, content and submission requirements as specified in these Funding Rules and [AINSE Research Projects Guidelines](#) which is available on the AINSE website. If a Proposal fails to meet any format, content or submission requirements, AINSE may in its absolute discretion decide to not recommend the Proposal for approval.

7.4 How to Complete and Submit a Proposal

- 7.4.1 [AINSE Research Projects Guidelines](#) document is available on the AINSE website. This document specifies a range of requirements for Proposals and also assists parties in preparing Proposals.
- 7.4.2 The Administering Organisation must certify Proposals. Research Offices should ensure that a designated person is authorised to certify and forward the signature page of Proposals for their organisation to AINSE by email as a pdf.
- 7.4.3 The AINSE reserves the right at any point in the process to seek the evidence from the Administering Organisation to support the certification of Proposals.
- 7.4.4 If a Proposal has not been submitted through the appropriate Research Office/Chief Executive Officer for certification, the Proposal will not be recommended or approved for funding.
- 7.4.5 Proposals involving a Research Fellowship require additional certifications from the appropriate Dean and the DVC Research of the Eligible Organisation.

7.5 Closing Time for Proposals

- 7.5.1 There are two rounds.
- Round 1: for proposals incorporating an AINSE Research Fellowship applications must be submitted by **5.00 pm (AEST) 31 May**.
- Round 2: for researchers with projects seeking access to ASFs and not seeking support for an AINSE Research Fellowship, the completed on-line application form must be submitted by **5.00 pm (AEST) 15 September**.
- 7.5.2 Additions, deletions and modifications will not be accepted after submission, unless invited by AINSE.
- 7.5.3 Proposals not seeking support for an AINSE Research Fellowship may be withdrawn up until Thursday 1 October.

- 7.5.4 Participants should note that Administering Organisations may have internal closing times for Proposal preparation that precede AINSE closing times.

8. Selection and Approval Process

8.1 Assessment and Selection Process

- 8.1.1 Assessment of Proposals is undertaken by [AINSE Specialist Committees](#), which make recommendations solely on the basis of their expertise, and which may:
- a. consider if a Proposal satisfies the eligibility criteria set out in these Funding Rules;
 - b. identify and consider any other matters that these Funding Rules state may result in AINSE recommending that a Proposal not be approved for funding;
 - c. request an expert to review a Proposal;
 - d. rank each Proposal relative to the others on the basis of the Proposal, and any expert reports;
 - e. assess and recommend the amount of funding to be made available for a Proposal.

Funding recommendations are made to the AINSE Executive Committee and AINSE Council which, if satisfied, will recommend offers of Awards.

- 8.1.2 AINSE has procedures for managing organisational and personal Conflicts of Interest experienced by members of selection advisory committees, members of other AINSE Committees, AINSE staff, and other assessors, and for enabling individuals to withdraw from the assessment process for particular Proposals where any actual or perceived conflict may exist.
- 8.1.3 In addition to assessment by AINSE Specialist Committees, a Proposal may, at the absolute discretion of AINSE, be assessed by external assessors. Assessors may be drawn from a range of organisations to reduce the potential for conflicts of interest. Proposals will be assessed against the criteria set out in these Funding Rules and the reports by the assessors may include written comment.
- 8.1.4 An interview will be conducted for short-listed Research Fellowship applicants. This will be either in Australia or remotely. The interview will contain questions based on the selection criteria and a personal statement from the applicant as an introduction. Applicants should not assume that Committee Members possess knowledge of an applicant's full suitability, experience and/or skills and abilities.
- 8.1.5 Administering Organisations may provide written notification to AINSE naming any person or persons whom they do not wish to assess a Proposal. Such notifications must contain detailed justification and be submitted through the Administering Organisation's Research Office. This notification must not accompany the Proposal. The notification must be sent to the email address advised under 'Contacts' at the beginning of these Funding Rules and be received by AINSE by the closing time for Proposals, **5:00 pm (AEST), 15 September** for Proposals not seeking support for an AINSE Research Fellowship .
- 8.1.6 AINSE will consider the justification put forward to exclude any person as an assessor, but may choose not to give effect to such a request.

8.2 Recommendations for Provisional and Unsuccessful Proposals

- 8.2.1 Specialist Committees may recommend a Provisional notification for a Proposal. Under these circumstances the notification will incorporate a conditions statement which details what needs to be done in order for AINSE to be able to convert the Provisional into a successful Proposal. Conversion of Provisionals is always dependent on the availability of funds.
- 8.2.2 Where Specialist Committees recommend a Proposal be unsuccessful every endeavour is made to provide the applicant with constructive feedback.

Fundamental Principles and Requirements

A1.1 Ethics and Research Practices

A1.1.1 The NHMRC website, www.nhmrc.gov.au, provides a series of publications which outline principles of ethical conduct in research. All Proposals and AINSE-funded research projects must, unless otherwise approved by AINSE, conform to the principles outlined in the following and their successor documents:

- a. *Australian Code for the Responsible Conduct of Research* (2007).
- b. National Statement on Ethical Conduct in Human Research (2007); and
- c. as applicable, codes on animal research promulgated by the NHMRC.

A1.1.2 If there is any conflict between a successor document and its predecessor, then the successor document prevails to the extent of any inconsistency.

A1.2 Acknowledging AINSE support

A1.2.1 Contributions to research and other activities funded by AINSE must be appropriately acknowledged. When, at any time during or after completion of a project, the researcher or any other party publishes or produces material such as books, articles, newsletters or conference papers which relate to the research project, the Administering Organisation must ensure (wherever possible) that AINSE's contribution and support of the project is acknowledged in a prominent place and in an appropriate form. This acknowledgement should include the mention of AINSE as a funding body. Similar efforts should be made to acknowledge AINSE support when participating in television and radio programs, when interviewed by the print media and when otherwise speaking publicly about the project.

A1.2.2 Appropriate acknowledgement must be made when the results of work undertaken with AINSE support are submitted for publication. The suggested wording for inclusion in Acknowledgements of publication is:
"The authors would like to thank AINSE Ltd for providing financial assistance through Research Project No/Research Fellowship to enable work on to be conducted."

A1.3 Dissemination of Research Outputs

A1.3.1 AINSE makes a significant investment in research to support its essential role in improving the wellbeing of our society. To maximise the benefits from research, findings need to be disseminated as broadly as possible to allow access by other researchers and the wider community.

A1.3.2 The AINSE acknowledges that researchers take into account a wide range of factors in deciding on the best outlets for publications arising from their research. Such considerations include the status and reputation of a journal or publisher, the peer review process of evaluating their research outputs, access by other stakeholders to their work, the likely impact of their work on users of research and the further dissemination and production of knowledge. Taking heed of these considerations, AINSE endeavours to ensure the widest possible dissemination of

the research supported under its funding, in the most effective manner and at the earliest opportunity.

A1.3.3 AINSE, therefore, encourages researchers to consider the benefits of depositing their data and any publications arising from a research project in an appropriate subject and/or institutional repository. If a researcher is not intending to deposit the data from a project in a repository within six months of the completion of the research, he/she should include the reasons in the project's Progress Report. Any research outputs that have been or will be deposited in appropriate repositories should be identified in the Proposal Report.

A1.3.4 As publications arise from an AINSE Research Award, the CI must notify AINSE of the details including title, authors, journal, page numbers(s), month, year, and confirm whether ASF personnel are co-authors, and whether AINSE has been acknowledged.

A1.5 Confidentiality

A1.5.1 AINSE will treat information contained in a Proposal as confidential. However, AINSE may disclose information contained in a Proposal, or otherwise provided to AINSE, to the extent that the information is:

- a. disclosed by AINSE to its Specialist Committees, ANSTO research scientists and other advisers (including external assessors), research officers, employees or other third parties in order to assess, evaluate or verify the accuracy or completeness of a Proposal;
- b. disclosed to AINSE's personnel to enable effective management or auditing of *AINSE Research Projects* or any Funding Agreement;
- e. authorised or required by law to be disclosed;
- f. disclosed in accordance with any other provision of these Funding Rules; or
- g. in the public domain other than due to a breach by AINSE of any obligation of confidence.

A1.5.2 Where information contained in a Proposal is made available to third parties for evaluation or assessment purposes, AINSE will require the third parties to maintain the confidentiality of the material.

A1.5.3 Notwithstanding the above, and in addition to the exemptions listed at Appendix A subsection A1.5.1, AINSE may publicise and report offers or awards of funding, including information about the proposed research; the name of the Fellows and their organisations; the name of the Administering Organisation and any other parties involved in or associated with the project; the title and summary descriptions of the project and its intended outcomes (including the national/community benefits that are expected to arise from the research); and the level and nature of financial assistance from AINSE. Administering Organisations should ensure that information contained in the project title and summaries would not, if released, compromise their own requirements for confidentiality (such as future protection of intellectual property).

A1.6 Intellectual Property

- A1.6.1 The AINSE does not claim ownership of any intellectual property in a Proposal or which is created or developed from the conduct of a project funded under *AINSE Research Projects* scheme.
- A1.6.2 However, all Proposals become the property of AINSE on submission. Administering Organisations submit their Proposals on the basis that AINSE may copy, modify and otherwise deal with information contained in a Proposal (and allow any external assessor or other third party to do the same) for any purpose related to:
- a. the evaluation and assessment of Proposals;
 - b. verifying the accuracy, consistency and adequacy of information contained in a Proposal, or otherwise provided to AINSE;
 - c. the preparation and management of any Funding Agreement.
- A1.6.3 If a Proposal contains information belonging to a third party, the Administering Organisation must ensure that it has in place all necessary consents to allow AINSE to deal with that information in accordance with these Funding Rules, prior to the Administering Organisation submitting its Proposal.
- A1.6.4 As a general rule, intellectual property rights in the results of an AINSE Research Project involving ANSTO scientists shall be the joint property of the Eligible Organisation accepting the award and ANSTO, but such rights may, in all cases, be subject to negotiation between those parties. Where it is anticipated that intellectual property rights of commercial value will be generated by a project which is the subject of an AINSE Research Project, applicants are advised to consult their legal representatives.

For collaborative projects, both parties must be aware of each other's expectations for protection and exploitation of intellectual property, including patents and publications. The Project Investigator and ANSTO collaborator agree to advise their respective institutions if

- i. at the time of writing the proposal, there is intent to generate IP that potentially could be patented or commercialised; or
- ii. during the course of the investigation, any IP develops that potentially could be patented or commercialised.

The institutions, in endorsing the application, agree to enter into IP negotiations if requested by either party.

For service projects, ownership of intellectual property related to the project measurements resides with the Eligible Organisation. New techniques or capabilities developed by ANSTO in execution of the project will reside with ANSTO.

- A1.6.5 Except with written approval from AINSE, all Proposals and AINSE-funded research projects must comply with the *National Principles of Intellectual Property Management for Publicly Funded Research* (available on the ARC website) and accord with any intellectual property policies of the researcher's organisations.

A1.7 Incomplete or Misleading Information

A1.7.1 If AINSE considers that a Proposal is incomplete, inaccurate or contains false or misleading information, AINSE may in its absolute discretion decide to recommend that the Proposal not be approved for funding.

A1.8 Insurance and Liabilities

A1.8.1 Administering Organisations are to ensure that their researchers are covered by liability, indemnity and insurance provisions.

Administration of Funding

B1 Notification of Award

B1.1 By signing the Proposals the Administering Organisation is agreeing to these Funding Rules. Upon receipt of the Notification of Award (see 3.1.8) the Administering Organisation may decline the offer.

The Notification of Award will specify which facilities may be accessed and for how long, as well as the charges for this access.

B1.2 On completion of access to the facilities on each visit, the CI must sign the appropriate sections of the "AINSE Research Award Authorisation Form". The completed Authorisation Form will be attached to the facility access invoice on the university. The Administering Organisation should raise a tax invoice on AINSE for an amount sufficient to cover the facility access charges. The Administering Organisation is solely responsible for payments to the ASF.

B1.3 Administering Organisations should note that these rules cover the post-award management, including reporting requirements and financial management.

Any unexpended funds revert unconditionally to AINSE at the end of the funding period.

If budgets as indicated on the Notification of Award are exceeded, the excess expenditure will be deemed to be a debt due to the facility owner by the university. AINSE accepts no liability for expenditure in excess of the amount specified in the Notification of Award.

B2 Varying the Notification of Award

B2.1 Requests to vary the Notification of Award must be forwarded in writing by the Administering Organisation's Research Office to AINSE. Any amendment of the Notification of Award will be at the absolute discretion of AINSE.

B2.2 The Notification of Award may be varied in regard to the amount of financial assistance, the period of financial assistance, and/or the name of the organisation receiving financial assistance.

B2.3 AINSE may vary the funding approval if:

- a. any of the organisations involved in the project end, or substantially change, their involvement with the project;
- b. the research project changes so that it is no longer consistent with the description in the funding approval as previously approved or as otherwise varied;
- c. the desirable period of funding for a project is not consistent with the period in the funding approval as previously approved or as otherwise varied; or
- d. AINSE considers and recommends that the particular circumstances of the project warrant variation of the funding approval, providing such variation is reasonably justified upon the facts of the case and any variation or change to the project accords with *AINSE Research Projects* scheme objectives.

B3 Reporting

- B3.1 CIs are required to submit progress reports to AINSE concerning funded projects, in the format provided once the experimental results are finalised.
- B3.2 CIs are required to notify AINSE of the details of any publications including title, authors, journal, volume, page number(s), month, year, DOI (if available), and the related AINSE Research Award number.
- B3.4 In general, where a Project includes support for access to the facilities, and/or minor equipment or materials at ANSTO and the CI or other investigator have visited the facility, accessed the facilities, and/or arranged for the purchase of equipment and materials or fixed assets, ANSTO will raise an invoice on the Administering Organisation. **Investigators are advised to monitor all expenditure incurred under AINSE Research Awards and to ensure that expenditure on Awards is not inadvertently exceeded.**

B4 Day-to-day administration of access to ASFs

- B4.1 Day-to-day administration of access to ASFs under the AINSE Research Project scheme is the responsibility of the owner of the facility. Access to facilities is not guaranteed: equipment failure or other difficulties may arise which prevent the execution of the grant. AINSE does not accept any responsibility for the consequences of equipment becoming unavailable.

B5 Termination

- B5.1 AINSE may terminate a Research Fellowship Project at any time if it is not satisfied with the progress or conduct of the Research Fellow, or for any other reason. Tenure will terminate in any case on the date on which the Research Fellow accepts a full-time position at the university, or the date on which the Fellow resigns from the university.
- B5.2 Should the Fellow relinquish the Award for any reason or the University cease to be a member of AINSE, the University will be required to refund the unexpended funds on a pro-rata basis.

Eligible Organisations

New South Wales

Charles Sturt University
Macquarie University
Southern Cross University
The University of New England
The University of New South Wales
The University of Newcastle
The University of Sydney
University of Technology, Sydney
University of Western Sydney
University of Wollongong

Victoria

The Australian Synchrotron
Deakin University
La Trobe University
Melbourne College of Divinity
Monash University
RMIT University
Swinburne University of Technology
The University of Melbourne
University of Ballarat
Victoria University

Queensland

CQUniversity
Griffith University
James Cook University
Queensland University of Technology
The University of Queensland
The University of the Sunshine Coast
University of Southern Queensland

Western Australia

Curtin University of Technology
Edith Cowan University
Murdoch University
The University of Western Australia

South Australia

The Flinders University of South Australia
The University of Adelaide
University of South Australia

Tasmania

University of Tasmania

Northern Territory

Charles Darwin University

Australian Capital Territory

The Australian National University
University of Canberra

Multi-State

Australian Catholic University
CSIRO

New Zealand

University of Auckland
University of Canterbury
Victoria University of Wellington
Otago University
GNS Science

Research Fellowship Salaries, and Allowances

Research support of up to \$40,000 will be provided in the first year and support of up to \$40,000, \$20,000, \$20,000 and \$15,000 will be provided in years 2, 3, 4 and 5 respectively. It is expected that the AINSE Fellow's research will move progressively towards self-funding during the initial 3-year appointment period through success in externally funded grant applications. Materials and laboratory necessities, \$5,000 pa, will also be provided. These funds will be transferred to the university and may be spent at the Fellow's discretion.

Relocation expenses up to \$5000 will be met by AINSE for the Fellow and his/her dependant(s).

Addition funding will also be provided as follows:

- travel and accommodation budget for attachment to ANSTO, \$5,000 pa
- travel and accommodation budget for visiting universities in Australasia, \$2,000 pa
- conference attendance budget, \$2,000 pa

Research Fellowship Endorsements

Applicants are required to contact the relevant Head of Department, School or Director of Research Institute/Centre at the University in which they propose to undertake the research to obtain advice on the research priorities for the School or Institute/Centre. The Head and/or Director will then liaise with the Dean of Faculty to ensure that appropriate facilities are available and that the University's support has been obtained. The University's acceptance must be part of the application. The contact should lead to a formal endorsement of the application and its conditions by the University.

The proposal will include a letter of support from the ANSTO Research Institute Head which confirms that the project is compatible with the capability and research priorities of the Institute.

Research Fellowship Referees

The proposal will include contact details for 3 nominated referees

Applicants must also ensure that reports from referees are submitted directly to the Managing Director AINSE by the closing date. **This is the sole responsibility of the applicant.**

Research Fellowships Commencing before December 2010

All research Fellowships commencing before November 2010 will not be subject to these rules.

AINSE Research Fellowship Certification

Certification by applicant

I certify, to the best of my knowledge, that:

- i) all the details on this application form are true and complete;
- ii) I have complied with the guidelines and if I am successful I will accept the conditions stated therein;
- iii) I understand and agree that all statutory requirements must be met before the proposed research can commence; and
- iv) I have consulted with ANSTO and declare that I am eligible to have access to the ANSTO site.

Certification by Dean of Faculty (or equivalent delegate)

- i) I have received advice from the relevant Head of Department or Director of Research Institute/Centre that the proposed research is consistent with the relevant strategic research objectives.
- ii) I agree that the proposed research can be accommodated within the general facilities in my Faculty and that sufficient working and office space is available.
- iii) I am prepared to have the proposed research carried out in my Faculty under the circumstances set out by the applicant.

Certification by Deputy Vice-Chancellor (Research) or equivalent or delegate

I certify that:

- i) this institution supports this application and will provide basic infrastructure for the proposed research;
- ii) the proposed research can be accommodated within the general facilities in this institution and that sufficient working and office space is available;
- iii) I am prepared to have the proposed research carried out in my institution under the circumstances set out by the applicant; and
- iv) if successful, the proposed research will not be permitted to proceed until appropriate ethical clearance has been obtained.
- v) the university will draft and sign an employment contract with the applicant which reflects the objects of this research fellowship as outlined in the accompanying guidelines.
- vi) The university agrees to contribute an amount representing 50% of the employment costs for the fellow (salary, superannuation etc).

Signature of
applicant

Name
Date

Signature of
Dean of Faculty

Name
Date

Signature of
Deputy Vice-Chancellor (Research)

Name
Date

Acronyms and Definitions

The following acronyms are used in AINSE Funding Rules

AINSE	AINSE Ltd
ANSTO	Australian Nuclear Science and Technology Organisation
ASF	AINSE supported facility
CI	Chief Investigator
CSIRO	Commonwealth Scientific and Industrial Research Organisation
GST	Goods and Services Tax
NHMRC	National Health and Medical Research Council

Definitions

In these Funding Rules, unless the contrary intention appears:

Adjunct or Emeritus Appointment or equivalent means that an Eligible Organisation has a formal agreement with a researcher which establishes an ongoing association with the Eligible Organisation, of the nature of an emeritus or honorary academic or visiting fellow. AINSE may seek documentary evidence of such an association if it is considered necessary.

Administering Organisation means a Financial AINSE Member Organisation which submits a Proposal for funding for an *AINSE Research Project* and which will be responsible for the administration of the funding if the proposed project is approved for funding. Membership of AINSE is open to any research organisation wishing to conduct research on an ASF facility.

Applicant means the Administering Organisation. Funding under *AINSE Research Projects* is provided to Administering Organisations, not to individual researchers.

AINSE means AINSE Ltd, as registered under ASIC.

AINSE Research Fellowship means a position held by a researcher where the salary is funded wholly or partly by AINSE and where the researcher has been nominated in a Proposal to hold a Fellowship.

AINSE website is www.ainse.edu.au

Chief Investigator (CI) means a researcher who satisfies the eligibility criteria for a Chief Investigator.

Collaborating Organisation means any organisation which is identified in the Proposal as a contributor to the project.

Condition means a special condition specified in a Provisional Notification which governs its conversion into an AINSE Research Award.

Conflict of Interest means an actual or perceived conflict between a person's public duty and their private or personal interest.

Eligible Organisation means an organisation which is eligible to apply for and receive funding under *AINSE Research Projects Funding Rules* as specified in Appendix C.

Funding Rules means this document.

GST has the meaning as given in section 195-1 of the *A New Tax System (Goods and Services Tax) Act 1999*.

Host Organisation means an organisation at which a Fellow undertakes her/his research while holding an AINSE Fellowship.

Research Priority means a research priority as detailed on AINSE website.

Project means a project, including individual fellowships, approved by AINSE Council for funding.

Project Leader means the first-named researcher nominated on a Proposal who is a CI or prospective AINSE Research Fellow.

Proposal means a request to AINSE for the provision of financial assistance for a research project which is submitted in accordance with Funding Rules.

Provisional Notification means a notification on a proposal where if specified conditions are met by the applicant the proposal may be awarded funding in the current round.

Research Office means a business unit within an organisation that is responsible for administrative contact with AINSE regarding Proposals and Research Projects.